



Serial No.: 09/853,736
Examiner: Qing Yuan Wu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul A. Johnson
Serial No.: 09/853,736
Filed: 05/11/2001
Title: Event Publishing Service System and Method

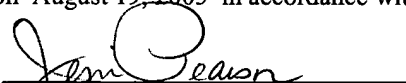
Docket: 135829
Art Unit: 2126
Examiner: Qing Yuan Wu

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents
P. O. Box 1450
Alexandria VA 22313-1450

Certificate Under 37 C.F.R. §1.8(a)

I hereby certify that the above correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope directed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on August 19, 2005 in accordance with 37 C.F.R. §1.6(d).


Jerri Pearson

Dear Sir:

In the matter of the above-identified application, Applicant is submitting herewith copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This Information Disclosure statement is being submitted:

- ☐ 1) within three months of the filing date
- ☐ 2) within three months of the date of entry of the national phase
- ☐ 3) before the mailing date of a first Office Action on the merits
- ☒ 4) before the mailing date of either a Final Action or a Notice of Allowance and is

accompanied by

- ☒ 1) the fee of \$ 180.00 set forth in 37 CFR 1.17(p).
- ☐ 2) the following certification specified in 37 CFR 1.97(e).

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☒ 1) Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

☐ 2) To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR 1.98(a) (3) for a concise explanation of the relevance is satisfied by

☐ 1) an English language version or translation of the foreign patent office report citing the documents.

☐ 2) the following remarks.

☐ 3) the discussion of these documents in the specification.

The documents listed relate to message distribution, CORBA, and events, topics which may be relevant to the present application.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, includes extension of time fees, to the Deposit Account No. 50-0838 of Alcatel USA, and please credit any excess fees to such Deposit Account.

Respectfully submitted,

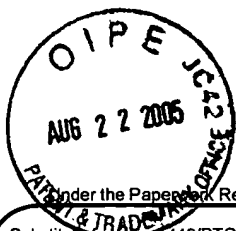
ALCATEL USA

V. Lawrence Sewell

Reg. No. 22,753

Dated: 8/19/05

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PTO/SB/08B (07-05)

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Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/853,736
Filing Date	05/11/2001
First Named Inventor	Paul A. Johnson
Art Unit	2126
Examiner Name	Qing Yuan Wu
Attorney Docket Number	135829

Sheet	1	of	1
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Gore, P, et al: "Designing and Optimizing Scalable CORBA Notification Service" SIGPLAN NOTICES ACM USA, vol., 36, no. 8, August 2001 (2001-08), pages 196-204, XP002319226 ISSN: 0326-1340	
		NEON INC: "NEONet Product Overview" NEONET TECHNICAL DOCUMENTS, 'Online! 18 October 1997 (1997-10-18), XP002319227 Retrieved from the Internet: URL: http://web.archive.org/web/19971018060153/http://www.neonsoft.com/prods/neonover.html > 'retrieved on 2005-02-24	
		MANSOURI-SAMANI M et al., "GEM: A Generalized Event Monitoring Language for Distributed Systems" Distributed Systems Engineering BCS; IEE; IOP UK, vol. 4, no. 2, June 1997, pages 96-108, XP002319228 ISSN: 0967-1846	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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